

02-05-02

J. S. PTO  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonapplications under 37C.F.R. §1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages<br/>(preferred arrangement set forth below)]<br/>           - Descriptive title of the Invention<br/>           - Cross References to Related Applications<br/>           - Statement Regarding Fed sponsored R&amp;D<br/>           - Reference to sequence listing, a table,<br/>           or a computer program listing appendix<br/>           - Background of the Invention<br/>           - Brief Summary of the Invention<br/>           - Brief Description of the Drawings (if filed)<br/>           - Detailed Description<br/>           - Claim(s)<br/>           - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total sheets _____]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages 1]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>           b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))<br/>           (for continuation/divisional with Box 18 completed)<br/>           i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>           Signed statement attached deleting inventor(s)<br/>           named in the prior application, see 37 CFR<br/>           1.63(d)(2) and 1.33(b).</p> <p>6.. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br/>computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy (CRF)</p> <p>b. Specification Sequence Listing on:<br/>           i. <input type="checkbox"/> CD-ROM or CD-R (2 copies)<br/>           ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
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**ACCOMPANYING APPLICATION PARTS**

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449. <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br/>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br/>or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p> |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.
 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**18. CORRESPONDENCE ADDRESS**
 Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or  Correspondence address below

23913

|         |           |          |
|---------|-----------|----------|
| Name    | Address   |          |
| City    | State     | Zip Code |
| Country | Telephone | Fax      |

|                   |                  |                                   |                  |
|-------------------|------------------|-----------------------------------|------------------|
| NAME (Print/type) | RAYMOND M. SPERK | Registration No. (Attorney/Agent) | 26,810           |
| Signature         |                  | Date                              | JANUARY 31, 2002 |

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# FEE TRANSMITTAL For FY 2001

Patent Fees are subject to annual Revision

Total Amount of Payment (\$ 776.00)

**METHOD OF PAYMENT**

The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

16-1445

Deposit Account Number

Pfizer Inc

Deposit Account Name

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.

See 37 CFR 1.27

Payment Enclosed:

Check     Credit card     Money Order     Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity             | Small Entity |          |          | Fee Description    | Fee Paid |
|--------------------------|--------------|----------|----------|--------------------|----------|
| Fee Code                 | Fee (\$)     | Fee Code | Fee (\$) |                    |          |
| 1101                     | 740          | 201      | 355      | Utility filing fee | 740      |
| 1106                     | 330          | 204      | 165      | Design filing fee  |          |
| 1107                     | 510          | 207      | 255      | Plant filing fee   |          |
| 1108                     | 740          | 208      | 370      | Reissue filing fee |          |
| 114                      | 160          | 214      | 80       | filing fee         |          |
| <b>SUBTOTAL (1) (\$)</b> |              |          |          | <b>740</b>         |          |

**2. EXTRA CLAIM FEES**

|                          | Extra Claims | Fee from Below | Fee Paid                                                  |
|--------------------------|--------------|----------------|-----------------------------------------------------------|
| Total Claims             | 22           | -20*+=         | 2 X 18 = 36                                               |
| Independent Claims       | 3            | - 3*+=         | 0 X =                                                     |
| Multiple Dependent       |              |                | =                                                         |
| Large Entity             | Small Entity |                |                                                           |
| Fee Code                 | Fee code     | Fee code       | Fee Description                                           |
| 103                      | 18           | 203            | 9                                                         |
|                          |              |                | Claims in excess of 20                                    |
| 102                      | 84           | 202            | 42                                                        |
|                          |              |                | Independent claims in excess of 3                         |
| 104                      | 260          | 204            | 140                                                       |
|                          |              |                | Multiple dependent claim, if not paid                     |
| 109                      | 80           | 209            | 42                                                        |
|                          |              |                | **Reissue independent claims over original patent         |
| 110                      | 18           | 210            | 9                                                         |
|                          |              |                | **Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) (\$)</b> |              |                | <b>36</b>                                                 |

\*\* or number previously paid, if greater; For Reissues, see above

**Complete if Known**

|                      |                     |
|----------------------|---------------------|
| Application Number   | NOT YET ASSIGNED    |
| Filing Date          | HEREWITH            |
| First Named Inventor | THOMAS VICTOR MAGEE |
| Examiner Name        | NOT YET ASSIGNED    |
| Group/Art Unit       | NOT YET ASSIGNED    |
| Attorney Docket No.  | PC11895A            |

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Fee Code                          | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description                                                            | Fee Paid |
|-----------------------------------|-----------------------|----------|-----------------------|----------------------------------------------------------------------------|----------|
| 105                               | 130                   | 205      | 65                    | Surcharge - late fee or oath                                               |          |
| 127                               | 50                    | 227      | 25                    | Surcharge-late filing fee or cover sheet                                   |          |
| 139                               | 130                   | 139      | 130                   | Non-English specification                                                  |          |
| 147                               | 2,520                 | 147      | 2,520                 | For filing a request for ex parte reexamination                            |          |
| 112                               | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                               | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                               | 110                   | 215      | 55                    | Extension for reply within first month                                     |          |
| 116                               | 400                   | 216      | 200                   | Extension for reply within second month                                    |          |
| 117                               | 920                   | 217      | 460                   | Extension for reply within third month                                     |          |
| 118                               | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |          |
| 128                               | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |          |
| 119                               | 320                   | 219      | 160                   | Notice of Appeal                                                           |          |
| 120                               | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |          |
| 121                               | 280                   | 221      | 140                   | Request for oral hearing                                                   |          |
| 138                               | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                               | 110                   | 240      | 55                    | Petition to revive - unavoidable                                           |          |
| 141                               | 1,280                 | 241      | 640                   | Petition to revive - unintentional                                         |          |
| 142                               | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)                                             |          |
| 143                               | 460                   | 243      | 230                   | Design issue fee                                                           |          |
| 144                               | 620                   | 244      | 310                   | Plant issue fee                                                            |          |
| 122                               | 130                   | 122      | 130                   | Petitions to the Commissioner                                              |          |
| 123                               | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126                               | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                               | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                               | 740                   | 246      | 370                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                               | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                               | 710                   | 279      | 355                   | Request for Continued Examination(RCE)                                     |          |
| 169                               | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |          |
| Other (specify)                   |                       |          |                       |                                                                            |          |
| *Reduced by Basic Filing Fee Paid |                       |          |                       | <b>Subtotal (3)</b>                                                        |          |

**Complete (if applicable)****SUBMITTED BY**

|                      |                                                                                     |                             |        |                  |              |
|----------------------|-------------------------------------------------------------------------------------|-----------------------------|--------|------------------|--------------|
| Type or Printed Name | RAYMOND M. STREET                                                                   | Registration No. (Attorney) | 26,610 | Telephone        | 212-733-4606 |
| Signature            |  |                             | Date   | JANUARY 31, 2002 |              |